

**Appendix 1: Assurance Summary 2015/16**

<b>Audit Plan Areas</b>	<b>Level of Assurance</b>			
	<b>High</b>	<b>Satisfactory</b>	<b>Partial</b>	<b>Minimal</b>
<b>Managing the Business</b>				
<b>Managing Service Delivery Risks</b>		<ul style="list-style-type: none"> <li>• <b>Special Educational Needs (Jun 2016)</b></li> <li>• <b>Essential Living Fund (Sept 2015)</b></li> <li>• <b>Development Control (Nov 2015)</b></li> <li>• <b>IT Service Changes Benefits Realisation (Aug 2015)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Licensing (Nov 2015)</b></li> <li>• <b>Family Mosaic Contract Management (Jan 2016)</b></li> <li>• <b>Third Party Hosting (Dec 2015)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Cleaning Services Contract Management (Dec 2015)</b></li> </ul>
<b>Key Financial Systems</b>	<ul style="list-style-type: none"> <li>• <b>Business Rates (Apr 2016)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Housing Rents (Apr 2016) (Partly operated by South Essex Homes)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Accounts Receivable, Social Care Debt (Jun 2016)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Payroll (Jun 2016)</b></li> </ul>

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<b>Audits Revisited</b>	<b>Action Implementation Level</b>			
	<b>High</b>	<b>Satisfactory</b>	<b>Partial</b>	<b>Minimal</b>
<b>Implementing Action Plans</b>	<ul style="list-style-type: none"> <li>• <b>Capital Project Management (Dec 2015)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Children's Social Care Services Internal Assurance Processes (Sept 2015)</b></li> </ul>		

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<b>Grant Claims</b>	<b>Level of Assurance</b>	
	<b>Unqualified</b>	<b>With Qualification</b>
	<ul style="list-style-type: none"> <li>• Local Transport Plan Grant (Sept 2015)</li> <li>• A127 Grant (Sept 2015)</li> <li>• Pothole Repair Grant (Sept 2015)</li> <li>• Repair and Renew (Flooding) Grant (Nov 2015)</li> <li>• Southend City Deal Grant (Jan 2016)</li> <li>• Local Sustainable Transport Fund Grant (Mar 2016)</li> </ul>	<ul style="list-style-type: none"> <li>• Troubled Families Grant (Jun and Sept 2015, Feb 2016)</li> </ul>

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Schools Full Audits	Level of Assurance		
	Good	Adequate	Improvement Required
	<ul style="list-style-type: none"> <li>• St George's Catholic Primary School (July 2015)</li> </ul>	<ul style="list-style-type: none"> <li>• Friars Primary (Dec 2015)</li> <li>• Our Lady of Lourdes Catholic Primary (Nov 2015)</li> <li>• St Nicholas (Sept 2015)</li> </ul>	<ul style="list-style-type: none"> <li>• Hinguar Primary (Nov 2015)</li> </ul>

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<b>Schools Audits Revisited</b>	<b>Action Implementation Level</b>			
	<b>High</b>	<b>Satisfactory</b>	<b>Partial</b>	<b>Minimal</b>
<b>Implementing Action Plans</b>	<ul style="list-style-type: none"> <li>• Chalkwell Hall Infant (Jun 2016)</li> <li>• Futures Community College (Feb 2016)</li> <li>• Thorpedene Primary (Nov 2015)</li> <li>• Blenheim Primary (Jun 2016)</li> <li>• Eastwood Primary (Sept 2015)</li> </ul>	<ul style="list-style-type: none"> <li>• Bournes Green Infant (Dec 2015)</li> <li>• St Mary's Prittlewell Church of England Primary (Nov 2015)</li> <li>• Porters Grange (Sept 2015)</li> </ul>		